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PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| TRANSMITTAL LETTER TO  | ATTORNEY'S DOCKET NUMBER  |   |  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US)   |   | 39583 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) |  |  |  |  |  |  |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371  |   | TBD 10/566791   |  |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO. PCT/JP2005/000784  | INTERNATIONAL FILING DATE 21/01/2005  | PRIORITY DATE CLAIMED<br>21/01/2004                   |  |  |  |  |  |  |
| TITLE OF INVENTION   |   |   |  |  |  |  |  |  |
| COMPRESSION DEVICE APPLICANT(S) FOR DO/EO/US   |   |   |  |  |  |  |  |  |
| ONITUKA, Yasuto  |   |   |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |  |  |  |  |  |  |
| 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |   |   |  |  |  |  |  |  |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |   |  |  |  |  |  |  |
| This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.                                |   |   |  |  |  |  |  |  |
| 4. The US has been elected (Article 31).   | The US has been elected (Article 31).   |   |  |  |  |  |  |  |
| A copy of the International Application as filed (35 U.S.C. 371(c)(2))   |   |   |  |  |  |  |  |  |
| a. is attached hereto (required only if not communicated by the International Bureau).   |   |   |  |  |  |  |  |  |
|  | b. 🚺 has been communicated by the International Bureau.   |   |  |  |  |  |  |  |
|  |   |   |  |  |  |  |  |  |
| 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |   |  |  |  |  |  |  |
| <del></del> 1  | a. └▼ is attached hereto.   |   |  |  |  |  |  |  |
| 17   | tted under 35 U.S.C. 154(d)(4).   | 25 H S C 274(a)(2))                                   |  |  |  |  |  |  |
| 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a. are attached hereto (required only if not communicated by the International Bureau). |   |   |  |  |  |  |  |  |
|  |   | itoliai Bulcauj.                                      |  |  |  |  |  |  |
| 1 —  | b. have been communicated by the International Bureau.  c. have not been made; however, the time limit for making such amendments has NOT expired.  |   |  |  |  |  |  |  |
|  | d. Abaye not been made and will not be made.  |   |  |  |  |  |  |  |
| 8. An English language translation of th   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |   |  |  |  |  |  |  |
| 9. 🗹 An oath or declaration of the inventor  | ✓ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |  |  |  |  |  |  |
| 10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  |   |  |  |  |  |  |  |
| Items 11 to 20 below concern document(s  | Items 11 to 20 below concern document(s) or information included:   |   |  |  |  |  |  |  |
| 11. An Information Disclosure Statement  | under 37 CFR 1.97 and 1.98. and Form  | PTO-1449  |  |  |  |  |  |  |
| 12. An assignment document for recording   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |   |  |  |  |  |  |  |
| 13. A preliminary amendment.   | A preliminary amendment.  |   |  |  |  |  |  |  |
| 14. An Application Data Sheet under 37 C   | ✓ An Application Data Sheet under 37 CFR 1.76.  |   |  |  |  |  |  |  |
| 15. A substitute specification.  |   |   |  |  |  |  |  |  |
|  | A power of attorney and/or change of address letter.  |   |  |  |  |  |  |  |
|  | A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 37 CFR 1.821- 1.825.  |   |  |  |  |  |  |  |
|  | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |  |
| Certificate of F   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  Certificate of Express Mail; Copy of International Search Report; Return Receipt Postcard; Copies of publications cited in specification |   |  |  |  |  |  |  |
| 20. Other items or information:  | • •   |   |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP9 Rec'd PCT/PTO 31 JAN 2006

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  | S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. |                    | ATTORNEY'S DOCKET NUMBER                             |                           |              |             |  |
|--|---|--------------------|--|---------------------------|--------------|-------------|--|
| TBD  | TBD 10/566791 PCT/JP2005/000784   |                    | 39583  |                           |              |             |  |
| The following fees have been submitted   |   |                    | CALCULATIONS   | PTO USE ONLY              |              |             |  |
| 21. 🕢 Basi   | c national fee  |                    |  | \$300                     | \$ 300.00    |             |  |
| 22. 🗸 Examination fee  |   |                    |  |                           |              | ,           |  |
| If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)   |   |                    | \$   |                           |              |             |  |
| All other situations\$200  |   |                    | 200.00   |                           |              |             |  |
|  | rch fee   |                    |  |                           |              |             |  |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority  |   |                    | the USPTO as an<br><b>\$100</b>                      |                           |              |             |  |
| International Search Report prepared and provided to the Office\$400   |   |                    | \$ 400.00  |                           |              |             |  |
| All other situations\$500  |   |                    | \$ 900.00  | <u> </u>                  |              |             |  |
| TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding  |   |                    | \$ 900.00  |                           |              |             |  |
| sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |   |                    |  | , i                       |              |             |  |
|  |   |                    |  |                           |              |             |  |
| Total Sheets   | Extra Sheets  |                    | ch additional 50 or fraction I up to a whole number) | RATE                      |              |             |  |
| 30 - 100 =   | 0 /50 =   |                    | ,  | v \$250                   | \$ 0.00      |             |  |
|  |   |                    | laration later than 30 months fro                    | x \$250                   | •            | <del></del> |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).   |   |                    |  | \$ 0.00                   |              |             |  |
| CLAIMS   | NUME  | BER FILED          | NUMBER EXTRA   | RATE                      | \$           |             |  |
| .Total claims  | 8   | - 20 =             | 0  | x \$ 50                   | \$ 0.00      |             |  |
| Independent clai   | ms 2  | - 3 =              | 0  | × \$200                   | \$ 0.00      |             |  |
| MUĻTIPLE DEPI  | ENDENT CLAIM(S  | S) (if applicable) |  | + \$360                   | \$ 0.00      |             |  |
|  |   |                    | TOTAL OF ABOVE                                       | CALCULATIONS =            | \$ 900.00    |             |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  |   |                    | ed by 1/2.   |                           |              |             |  |
| SUBTOTAL =   |   |                    | SUBTOTAL =   | \$ 900.00                 | Ì            |             |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest   |   |                    | ¢  |                           |              |             |  |
| claimed priority date (37 CFR 1.492(i)). +   |   |                    | 0.00   |                           |              |             |  |
| TOTAL NATIONAL FEE =   |   |                    | \$ 900.00  |                           |              |             |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property +   |   |                    | st be accompanied                                    | \$ 0.00                   |              |             |  |
|  |   |                    |  | FE ENCLOSED -             | * 000 00     |             |  |
| TOTAL FEES ENCLOSED =  |   |                    |  | \$ 900.00                 |              |             |  |
|  |   |                    |  | Amount to be<br>refunded: | \$           |             |  |
|  |   |                    |  |                           | Amount to be | \$          |  |
|  |   |                    |  |                           | charged:     | <u> </u>    |  |
| a. A check in the amount of \$ 900.00 to cover the above fees is enclosed.   |   |                    |  |                           |              |             |  |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  |   |                    |  |                           |              |             |  |
| A duplicate copy of this sheet is enclosed.  c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit  |   |                    |  |                           |              |             |  |
| Account No. 16-0820 . A duplicate copy of this sheet is enclosed.  |   |                    |  |                           |              |             |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |                    |  |                           |              |             |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.               |   |                    |  |                           |              |             |  |
| SEND ALL CORRESPONDENCE TO:  |   |                    |  |                           | a Stank      |             |  |
| //SIGNATUARE   |   |                    |  | my Wylla                  |              |             |  |
| Customer Number: 052054  Jeffrey J. Sop  |   |                    |  |                           |              |             |  |
|  |   |                    |  | NAME                      |              |             |  |
|  | 27676   |                    |  |                           |              |             |  |
|  |   |                    |  | REGISTRATIO               | ON NUMBER    |             |  |

## CERTIFICATE OF EXPRESS MAIL

Appl. No.

**TBD** 

**Applicant** 

Yasuto Onituka

Filed

Herewith

Title

"COMPRESSION DEVICE"

Confirmation No.

**TBD** 

TC/A.U.

**TBD** 

Examiner

**TBD** 

International App. No.:

PCT/JP2005/000784

International Flg. Date:

January 21, 2005

Customer No.

052054

Docket No.

39583

"Express Mail" mailing label number <u>EV 739047365 US</u>

Date of Deposit January 31, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Virginia Naughton

Printed Name Person Mailing Paper or Fee